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The ASTER Spectral Library Version 2.0

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24 **Abstract**

25 The Advanced Spaceborne Thermal Emission Reflection Radiometer (ASTER)
26 on NASA's Terra platform has been widely used in geological and other science studies.
27 In support of ASTER studies, a library of natural and man-made materials was compiled
28 as the ASTER Spectral Library v1.2 and made available from <http://speclib.jpl.nasa.gov>.
29 The library is a collection of contributions in a standard format with ancillary data from
30 the Jet Propulsion Laboratory (JPL), Johns Hopkins University (JHU) and the United
31 States Geological Survey (USGS). A new version of the library (v2.0) is now available
32 online or via CD, which includes major additions to the mineral and rock spectra. The
33 ASTER library provides a comprehensive collection of over 2300 spectra of a wide
34 variety of materials covering the wavelength range 0.4-15.4 μm .

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37 **Introduction**

38 Remote-sensing measurements made *in situ* and from airborne and spaceborne
39 platforms provide valuable information for research studies. The Advanced Spaceborne
40 Thermal Emission Reflection Radiometer (ASTER) on NASA's Terra platform provides
41 such measurements and has been widely used in geological and other studies (Rowan et
42 al. 2003; Hellman and Ramsey 2004; Hubbard and Crowley 2005; Vaughan et al. 2005;
43 Ducart et al. 2006; Zhang et al. 2007; Rockwell and Hofstra 2008; Vaughan et al. 2008).
44 ASTER is a multi-spectral imager, which provides observations in the visible and near
45 infrared (VNIR, 0.4-1.0 μm), the short wavelength infrared (SWIR, 1.0-2.4 μm) and the
46 thermal infrared (TIR, 8-12 μm) parts of the electromagnetic spectrum. As part of the
47 ASTER activities, a library of over 2000 spectra of natural and man-made materials was
48 compiled as the ASTER Spectral Library and made available from
49 <http://speclib.jpl.nasa.gov>. The library includes contributions from the Jet Propulsion
50 Laboratory (JPL), Johns Hopkins University (JHU) and the United States Geological
51 Survey (USGS). The library includes spectra of rocks, minerals, lunar soils, terrestrial
52 soils, manmade materials, meteorites, vegetation, snow and ice covering the visible
53 through thermal infrared wavelength region (0.4-15.4 μm). The first version of the
54 library (version 1.2) was released in July 1998 and since that time over 4000 copies of the
55 spectral library have been distributed to over 90 countries. More recently, complimentary
56 spectral libraries have been made available from other collections, for example:
57 <http://speclib.asu.edu> (Christensen et al. 2000),
58 http://pds.geosciences.wustl.edu/missions/mro/spectral_library.htm and
59 <http://speclab.cr.usgs.gov> (Clark et al. 2007).

60 The JPL portion of the ASTER spectral library has now been extensively updated
61 and the version number of the library increased to Version 2. In this paper, we
62 summarize the additions and changes in Version 2. Additions include new spectra from
63 0.4-15.4 μm of 100 rock samples and new measurements of the original 160 JPL mineral
64 samples (3 particle size fractions) found in version 1.2 of the library. Initially, the
65 approach used to identify and measure the JPL portion of the library is described. This is
66 followed by a description of the new library organization. No new contributions have
67 been included from the USGS and Johns Hopkins University collections.

68

69 **JPL Library Source Materials and Purity**

70 The minerals samples used to generate the JPL mineral spectra were obtained
71 from the Ward's Natural Science Establishment, the Burnham Mineral Company, the
72 Source Clay Mineral Repository and/or from the JPL collection. The characteristics of
73 these minerals are described in the ancillary data accompanying the ASTER Spectral
74 Library.

75 The purity and composition of each mineral sample was determined using
76 standard X-ray Diffraction analysis. Diffraction lines were identified by comparison with
77 the Mineral Powder Diffraction File Search Manual and Data Book (Standards 1980).
78 Sample purity was assessed based on the number and intensity of diagnostic peaks.
79 Additionally, chemical composition data were acquired by Cameca CAMEBAX electron
80 microprobe analysis at the University of California, Los Angeles for the mineral samples
81 that were known to deviate significantly from idealized end-member compositions.

82 The rock samples used to generate the JPL rock spectra were obtained from the
83 Ward's 100 North American Rock Collection, which contains 100 examples of the most
84 common igneous, metamorphic and sedimentary rocks. Detailed information, including
85 microscopic and megascopic descriptions is available for each sample from Wards and
86 has been included with the ancillary data accompanying the library.

87

88 **JPL Sample Preparation**

89 The mineral samples at JPL were prepared by crushing the samples with a steel
90 percussion mortar. For 135 of these minerals, where there was sufficient quantity of the
91 sample, the crushed samples were ground with mortar and pestle and wet sieved with
92 distilled water or 2-propanol to achieve size fractions of 125-500 μm , 45-125 μm and <
93 45 μm . Three particle size fractions were measured to demonstrate the effect of particle
94 size on reflectance (Hunt and Vincent 1968; e.g. Salisbury and Eastes 1985). Particulate
95 samples were poured into aluminum sample cups that measure 3.2 cm in diameter and 0.5
96 cm in depth. The upper surface of the sample was smoothed with a metal spatula with
97 care taken not to introduce preferred grain orientation.

98 The Wards' rock samples are approximately 3" x 4" and fresh surfaces were
99 analyzed as whole rock samples.

100

101 **JPL Sample Measurement**

102 The spectra were acquired in two wavelength ranges: 0.4-2.5 μm and 2-15.4 μm .
103 Version 1.2 of the spectral library contains hemispherical reflectance data of minerals
104 that were measured with the Beckman UV5240 Spectrophotometer from 0.4-2.5 μm .

105 The Beckman incorporates a single pass monochromator and utilizes a diffraction grating
106 as its dispersing element. The sampling interval is 0.001 μm from 0.4-0.8 μm and 0.004
107 μm from 0.8-2.5 μm . The instrument was modified with an integrating sphere rotated 90
108 degrees, which facilitates the measurement of particulate samples by allowing the sample
109 holder to remain in a horizontal position. The sample was placed in the sample
110 compartment where it and a Halon reference standard were illuminated alternately by
111 monochromatic radiation from a high-intensity halogen lamp source.

112 Directional hemispherical reflectance was also measured in this wavelength range
113 with a newer Perkin-Elmer Lambda 900 UV/VIS/NIR spectrophotometer equipped with a
114 gold-coated integrating sphere manufactured by Labsphere (Salisbury et al. 1991;
115 Johnson et al. 1998). The spectrophotometer is an all-reflecting double monochromator
116 optical system in which holographic gratings are used in each monochromator for the
117 UV/VIS and NIR range. Spectra are acquired at 0.01 nm increments with an integration
118 time of 0.52 s from 0.05 to 5.00 nm (UV/VIS) and at 0.04 nm increments for 2.12 s from
119 0.2 to 20 nm (NIR). The samples are illuminated by radiation from either a deuterium
120 (UV) or halogen (VIS and NIR) source. A Peltier-cooled PbS detector is utilized for the
121 NIR spectral range and a photomultiplier is utilized for the NIR range.

122 Mineral and rock samples spectra were acquired in the infrared, from 2.5-15 μm ,
123 with the Nicolet 520FT-IR spectrometer equipped with a Labsphere integrating sphere.
124 1000 scans at 4 cm^{-1} spectral resolution were acquired over ~ 15 minutes per sample and
125 averaged together. The Nicolet FT-IR utilizes an internal HeNe laser to monitor the
126 position of the moving mirror within each scan. Since the wavelength of the laser is
127 accurately known, this laser also provides an internal wavelength calibration standard. A

128 background spectrum was acquired using a diffuse gold plate and used to remove
129 background radiation from the sample spectrum.

130

131 **JPL Standards and Potential Errors**

132 Standards were measured multiple times during the acquisition of sample spectra
133 to ensure that there were no major deviations in instrument performance. Liquid water
134 and pyrophyllite were used as standards for the VIS and IR spectral ranges respectively.
135 The pyrophyllite spectra showed some variation in absolute reflectance as a function of
136 variations in reflected light but there was no variation in spectral shape or feature position
137 (Figure 1A). The liquid water spectra had negligible variability with each spectrum
138 falling within the noise of another (Figure 1B).

139

140 **Library Organization**

141 There is one spectrum per file and a naming convention is used that allows each
142 filename to be unique. Specifically, the filename describes the laboratory where the
143 sample was measured, the spectrometer used, the type of sample, the class and subclass if
144 appropriate, followed by the grain size and finally the sample number. The spectral files
145 are given the suffix “spectrum.txt” and the ancillary data are given the suffix
146 “ancillary.txt”. The ancillary file includes information that is not part of the standard
147 spectral file format, e.g. X-Ray information. For example, the spectrum of 125-500 μm
148 anhydrite (CaSO_4) measured at JPL on the Perkin-Elmer spectrometer (VNIR-SWIR)
149 will have the filename `jpl.perkins.mineral.sulfate.none.coarse.so01ac.spectrum.txt`. The
150 naming convention is further explained in Table 1. Included with each spectral text file is

151 header information specific to that file. The header information is in a standard format
152 and contains such information as the sample name, type, class, particle size, wavelength
153 range, and sample origin. Examples of the header information available for each mineral
154 and rock sample are given in Table 2. The spectral files always have the same number
155 header lines.

156

157 **Ordering the Library**

158 The complete ASTER Spectral Library is available on CD and can be ordered
159 from <http://speclib.jpl.nasa.gov/>. The data are contained on the CD as text files, which
160 are named to describe each file uniquely as noted in the library organization section.

161 Individual spectra can also be viewed and downloaded at the website.

162

163 **Results and Discussion**

164 Samples of the library spectra from JPL measurements are shown in Figure 2
165 (minerals) and 3 (rocks). Figure 2A and 3A show the 0.25-2.5 μm spectral range and
166 Figure 2B and 3B show the 2-15 μm spectral range. The IR rock spectra have increased
167 water vapor, which causes a noticeable saw tooth appearance in the short wavelength
168 region of the spectra (2-3 μm). For most samples, there is a slight offset between the two
169 spectral ranges due to the difference in the reference standard (halon vs. gold). In order
170 to avoid this offset, the spectra provided by the JHU Spectral Library were normalized to
171 a gold standard, thereby shifting the VIS/SWIR spectra to high reflectance. No similar
172 attempt was made to normalize the spectra measured at JPL.

173 Surface radiance collected by ASTER in the TIR is converted to emissivity using
174 the temperature emissivity separation (TES) (Gillespie et al. 1998). Typically, for
175 comparison of surface emissivity to laboratory data, Kirchhoff's Law ($R = 1 - \epsilon$)
176 (Nicodemus 1965) is used to convert to emissivity from hemispherical reflectance. With
177 the addition of the data from JHU Spectral library, the IR spectra for most samples are
178 available in both bidirectional and hemispherical reflectance. However, Salisbury et al.
179 (1994) have shown that the directional nature of the radiation measured by biconical
180 reflectance does not adequately account for radiation scattered in all directions.
181 Therefore, the newer hemispherical reflectance spectra acquired at JPL provide a more
182 accurate comparison to ASTER surface emissivity. The biconical reflectance data are
183 included since they cover an expanded spectral range.

184

185 **Summary and Conclusions**

186 Version 2 of the ASTER Spectral Library contains over 2300 spectra. This new
187 version includes major additions to the mineral and rock spectra. The ASTER library
188 continues to provide one of the most comprehensive collections of spectra covering the
189 wavelength range 0.4-15.4 μm and includes spectra of minerals, rocks, lunar and
190 terrestrial soils, manmade materials, meteorites, vegetation, snow and ice.

191

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197

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250

251 **Figure Captions**

252

253 Figure 1. Mean and standard deviation of pyrophyllite and distilled water standards
254 measured during sample measurement. Pyrophyllite was used for the visible to
255 shortwave infrared (0.4-2.5 μm) (A) and liquid water was used for the infrared (2.0-15.4
256 μm) (B) spectral ranges respectively. At least one standard measurement was taken with
257 each spectrometer a year between 1999 and 2007.

258

259 Figure 2. Examples of JPL mineral library reflectance spectra of several classes
260 demonstrating the variety in spectral shapes across both the visible to shortwave infrared
261 (0.4- 2.5 μm) (A) and infrared (2.0-15.4 μm) (B) wavelength ranges. Spectra are offset
262 for clarity.

263

264 Figure 3. Examples of JPL rock library reflectance spectra for igneous, sedimentary and
265 metamorphic rocks demonstrating the variation in spectral shapes across both the visible
266 and shortwave infrared (0.4- 2.5 μm)(A) and the infrared (2.0-15.4 μm)(B) wavelength
267 ranges. Spectra are offset for clarity.

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Table 1. Library Nomenclature Examples

Location	Instrument	Type	Class	Subclass	Particle Size	Sample Number	File Type
JPL	Nicolet	Mineral	Silicate	Phyllosilicate	Powder		Spectrum
JHU	Perkin	Rock	Sulfate	Tectosilicate	Fine		Ancillary
USGS	Beckman	Manmade	Carbonate	Felsic	Medium		
		Soil	Sedimentary	Mafic	Coarse		
	Lunar	Igneous	Ordinary chondrite	Solid			
	Meteorite	Stoney	Dry grass	Packed Powder			
		Vegetation	Grasses				

Example:

jpl.nicolet.mineral.sulfate.none.coarse.so01ac.spectrum.txt

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Table 2. Header File Example

Each header file has 26 lines and adheres to the following form:

Name: Barite BaSO₄

Type: Mineral

Class: Sulfates

Subclass:

Particle Size: 125-500um

Sample No.: SO-3A

Owner: JPL

Wavelength range: IR

Origin: USA, South Carolina, Cherokee County, Kings Creek

Collected by Ward's

Description:

Measurement: Hemispherical reflectance

First Column: X

Second Column: Y

X Units: Wavelength (micrometers)

Y Units: Reflectance (percent)

First X Value: 15.3853

Last X Value: 2.00032

Number of X Values: 2256

Additional information: so03aa.txt

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280

Figure 1

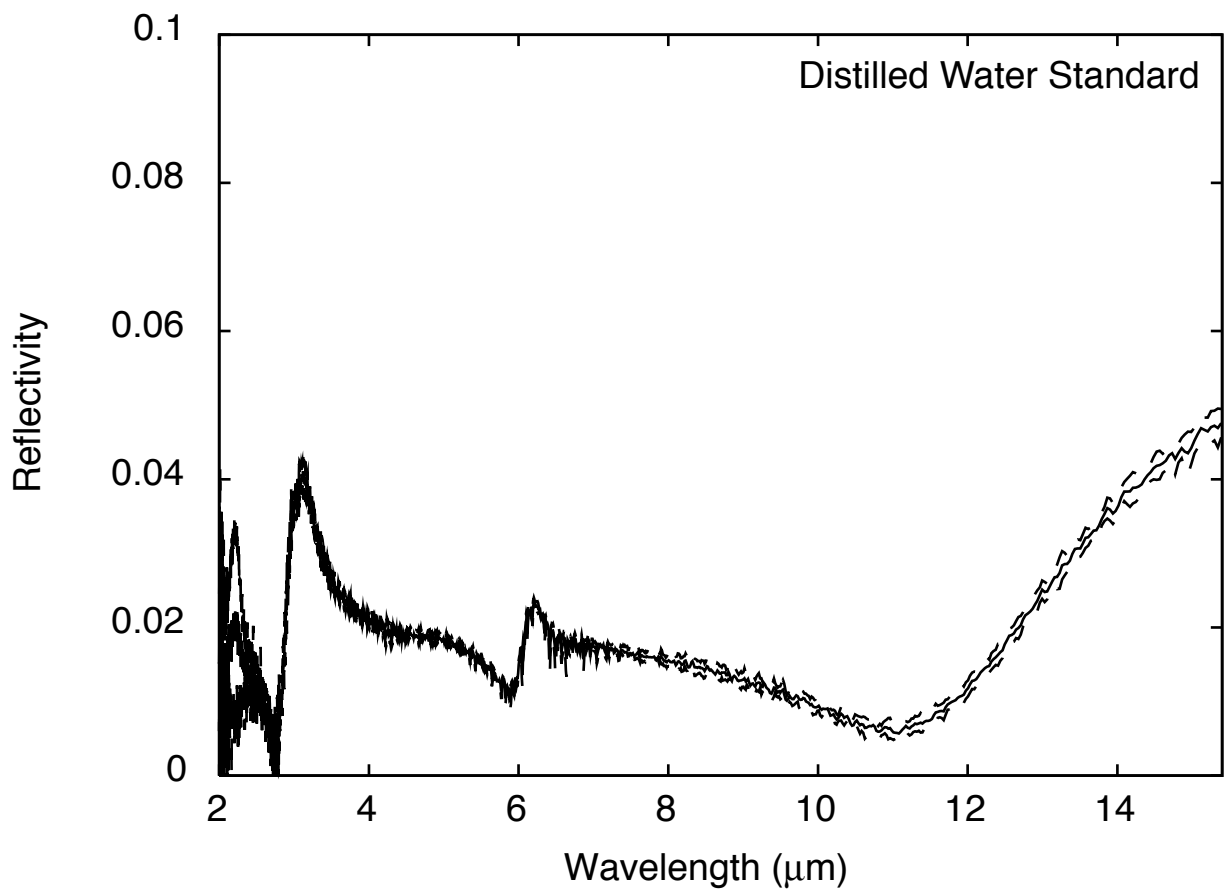
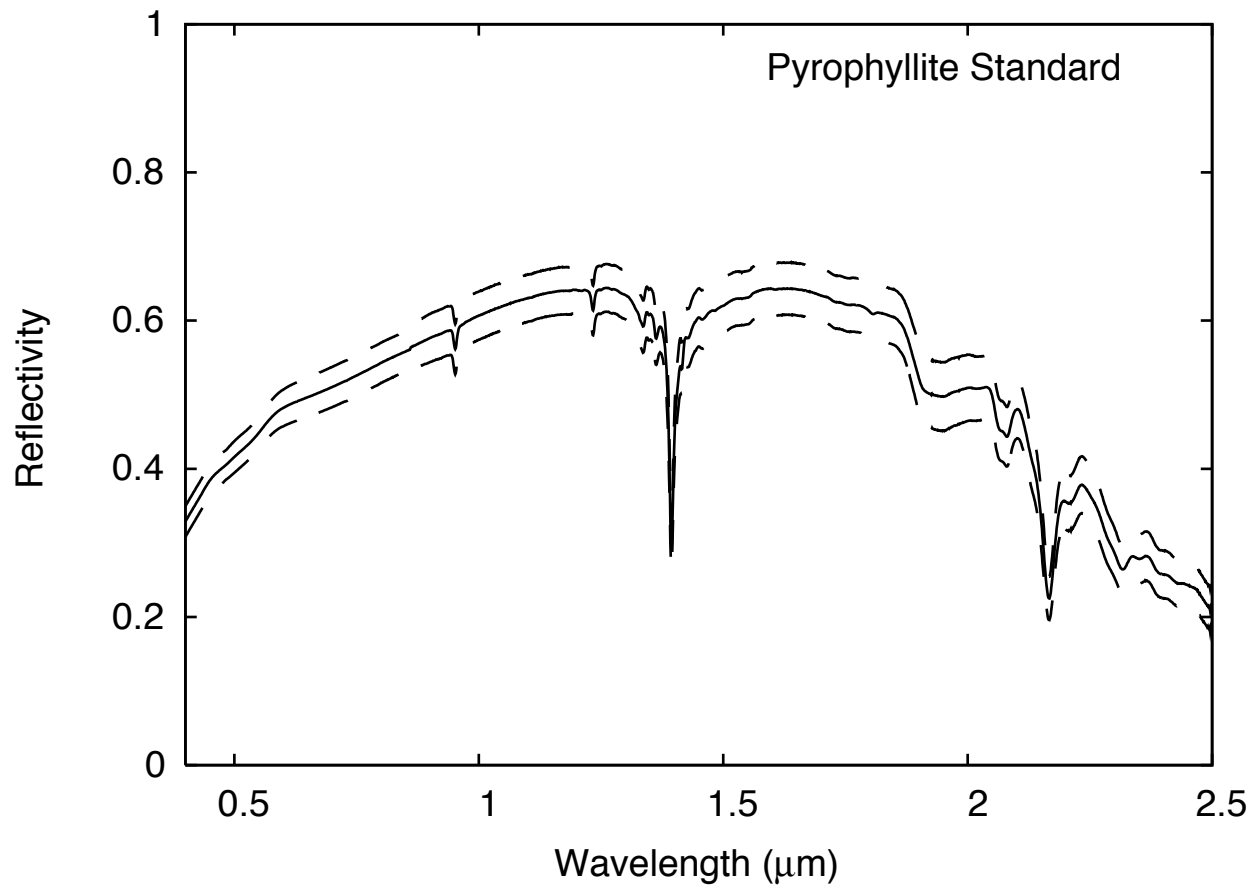


Figure 2.

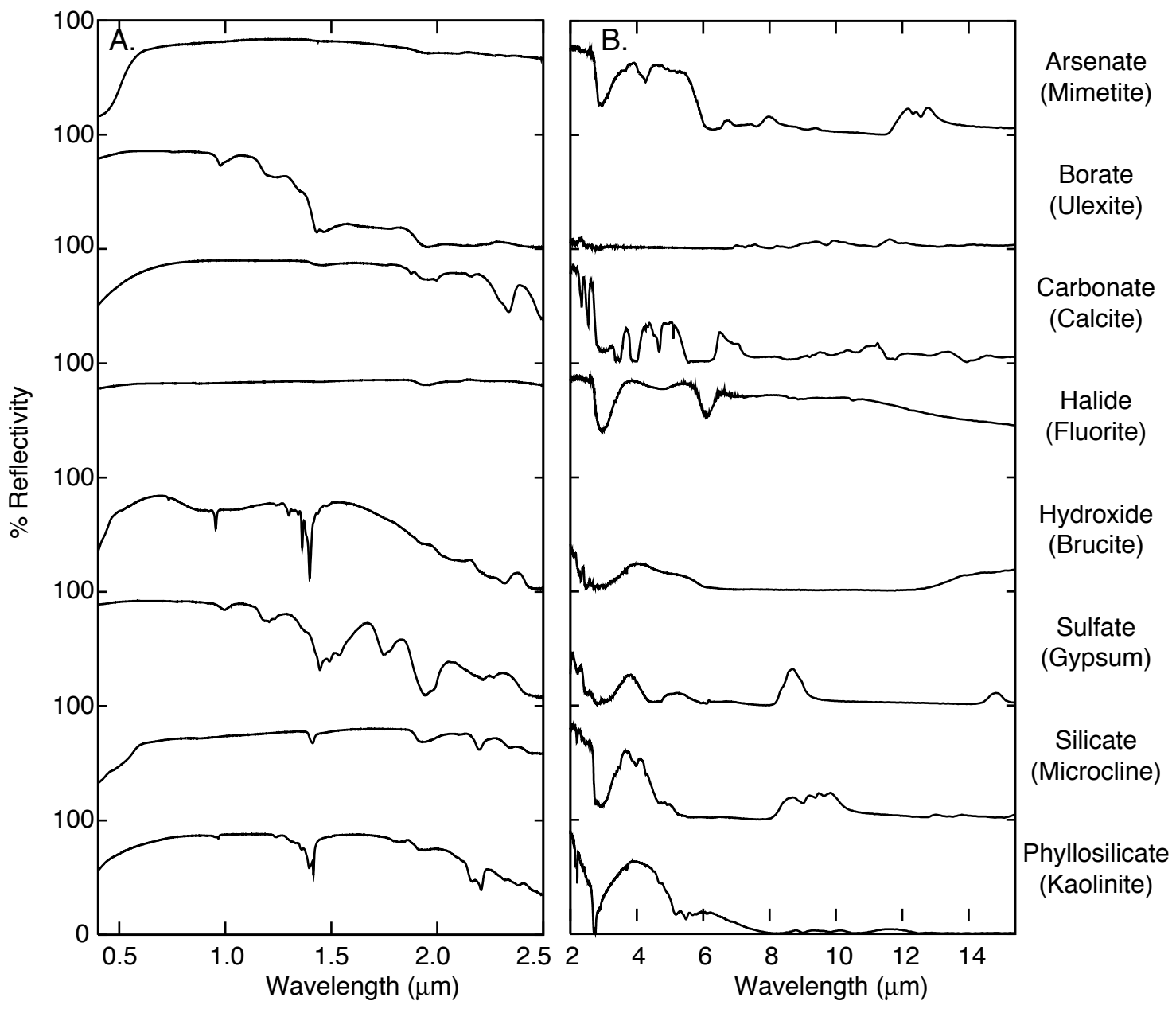


Figure 3.

